

B2100A (Form 2100A) (12/15)

# United States Bankruptcy Court

## Southern District Of New York

In re Lehman Brothers Holdings, Inc., Case No. 08-13555 (JMP)

### **TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Bank Julius Baer & Co. Ltd., Zurich

Name of Transferee

Name and Address where notices to transferee should be sent:

Patrik Roos  
Bank Julius Baer & Co. Ltd.  
Bahnofstrasse 36  
CH-8010 Zurich, Switzerland

Phone: + 41 58 887 7336

Last Four Digits of Acct #: n/a

Name and Address where transferee payments should be sent (if different from above):

(same as above)

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: *James M. Clark* Date: *3/28/17*  
Davis Polk & Wardwell LLP as counsel for Transferee

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*



J. SAFRA SARASIN



Sustainable Swiss Private Banking since 1841

EVIDENCE OF TRANSFER OF CLAIM

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, Bank J. Safra Sarasin Ltd, Singapore ("Transferor") unconditionally and irrevocably transferred to Bank Julius Bär, Zurich, Switzerland ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 57485) in the nominal amount of USD100,000 related to the securities with International Securities Identification Numbers listed on Schedule 1 hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the abovementioned portion of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the abovementioned portion of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the said portion of the claim, and all payments or distributions of money or property in respect of that portion of the claim, shall be delivered or made to the Transferee.



J. SAFRA SARASIN



Sustainable Swiss Private Banking since 1841

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED  
this 21 March 2017.

Bank J.Safra Sarasin Ltd, Singapore

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Janis Lim  
Vice President

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Lawrence Wee  
Vice President



**J. SAFRA SARASIN**



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SCHEDULE 1

Lehman Programs Securities Related to Transferred : Portion of  
Claim:

ISIN	Court Claim	Date Claim Filed	Issuer	Nominal Amount/Quantity of Claim related to Security to be transferred
ISIN XS0308970994	Epic claim number 57485	September 2009	Lehman Brothers Treasury Co BV	USD100,000 Nominal amount to be transferred out of 2,000,000 Nominal amount originally filed under the respective claim number